KNOW YOUR CLIENT WORKSHEET

Financial Information & Experience			
Annual Income			
Net Worth			
Liquid Net Worth			
Source of Account Wealth and Income			
Federal Tax Bracket			
Investment Experience (# of years)		Margin	
Annuities		Stocks	
Mutual Funds		Bonds	
Partnerships		Options	
What are your assets/investments (includes positions held outside and by LPL in this and other accounts)? Please indicate % of assets exclusive of primary home (must equal 100%):			
Real Estate	%	Equities	%
Mutual Funds	%	Alternative Investments	%
Checking/Savings	%	Bonds	%
Annuities	%	Other	%
Insurance	%		
If other, please explain:			

New Account			
Account Number	Account Type		Account Value
Investment Objective: Check Appropriate Box(es) Below			
Income with Capital Preservation		Growth	
Income with Moderate Growth		Aggressive Growth	
Growth with Income		Trading	
What is your investment time horizon for this account? Check Appropriate Box Below			
1-3 Years		5-10 Years	
3-5 Years		More than 10 Years	
Do you have liquidity needs for this account? (Circle one)		Yes	No
If yes, when do you need the funds? (Circle one)		0-3 Years	More than 3 Years
If yes, specify the approximate dollar amount for the time range indicated:			

Please Use Reverse Side for Additional Accounts

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