



Medallion Signature Guarantee

Stamp Request

Account Number														
Rep ID														

This service is for active clients of HighPoint Advisor Group, LLC (“HighPoint”) only. Please ensure your client has an open account and there is an application on file. (This includes Outside Business Accounts.) This form is primarily for Outside Business. Many internal transactions at HighPoint will already be guaranteed by the appropriate business unit. Requests received for internal business units will be forwarded to the appropriate department for review.

1. Account Registration

2. List the Documents Enclosed with this Form

3. Handling Instructions

Please return or forward the enclosed documents to:

- My office
- Other special handling instructions and please include address:

Please include a pre-paid envelope with the appropriate name and address to return the documents. If no envelope is enclosed, HighPoint will overnight and charge a \$15.00 shipping fee to the Advisor’s Commission. A certified mail service is recommended for tracking purposes (i.e. FedEx, UPS, etc.).

4. Authorization

- Not all requests are eligible for a Medallion Signature Guarantee from HighPoint’s Home Office. Please review the “Stamp Request Instructions” sheet for a list of exclusions prior to submission.
- A wet signature is required. Photocopied signatures will be rejected – no exceptions.
- HighPoint performs an independent verification of the client’s signature at the Home Office. If the client’s LPL account application was signed via eSignature, please include a valid signed ID with this request. Mis-matching signatures will be rejected – no exceptions.

I request that you signature guarantee the enclosed document(s) for my client(s) named below who is/are well known to me. The client(s) has/have signed his/her name(s) in my presence on said document(s), and I witnessed this signing:

- In person Virtually

Account Holder Name(s)

In consideration of your executing this Signature Guarantee, I agree for myself, my successors, assigns, heirs, executors and my administrators to at all times indemnify and hold harmless LPL Financial LLC and you, as Authorized Guarantor, from and against any and all claims, losses, liabilities, taxes, damages, actions, charges, and expenses, including attorney fees, resulting from your compliance with this request and hold harmless HighPoint Advisor Group, LLC, LPL Financial, LLC, and you.

Financial Advisor/Principal Signature

Financial Advisor/Principal Name (print)

Date

HighPoint Home Office Use Only

Received On: _____

Returned On: _____

Denied On: _____

Reviewed & Approved By: _____