



HIGHPOINT
ADVISOR GROUP

New Covered Person Form

Instructions: Complete this form to provide HighPoint Advisor Group, LLC (“HPAG”) with information about any new individuals working in your office. This form should be used for both licensed and non-licensed individuals.

Please return the completed form to michelle.juras@hptpartners.com on or before the Covered Person’s start date.

1. Personal Information

Full Name

Job Title

Email Address (LPL, HPAG, or d/b/a email)

2. Other Information

Start Date

Branch Location/Business Name

This Individual will be:

Licensed

Non-Licensed Admin

3. Submitted by:

Name (Signature not required)

Date