

Acknowledgment of Concentrated Security

Account Number

Instructions: This form serves as an acknowledgment that you understand the risks associated with holding a concentrated security position in the advisory account identified in Section 1.

Section I: Account Holder Information

1. Account Information

Registration Type

Account Holder Registration

2. Investment Information

Financial Advisor Name

3. Currently concentrated securities

Security Symbol

Security Name

Security Symbol	Security Name

4. Acknowledgment

Please be advised that my financial advisor named in Section 2 has discussed the risks associated with concentration and the lack of diversification of the security position(s) currently held in my account referenced above.

I am aware of the risk involved in maintaining these positions in my account, and I am willing to accept that risk. To the extent these positions were purchased in my account, my signature confirms that I authorized those transactions.

I am also aware that I continue to pay a management fee on the total asset value of my account, including any concentrated security positions, and I wish to continue to hold these positions in my account until further notice. I understand that my financial advisor is reasonably available to me for consultation at any time, and I will notify my financial advisor of any changes in my investment objective or personal circumstances that may impact the advice given to me by my financial advisor.

Account Holder Signature

Account Holder Name (print)

Date

Account Holder Signature

Account Holder Name (print)

Date